

## **EXHIBIT 1**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant : PAOLETTI  
U.S. Serial No. : 08/228,926  
Filing Date : April 18, 1994  
Title of the Invention : MODIFIED VACCINIA VIRUS AND METHODS FOR  
MAKING AND USING THE SAME  
Confirmation No. : 4171  
Examiner : Mary Mosher  
Art Unit : 1648

745 Fifth Avenue  
New York, NY 10151

**FILED VIA EFS**

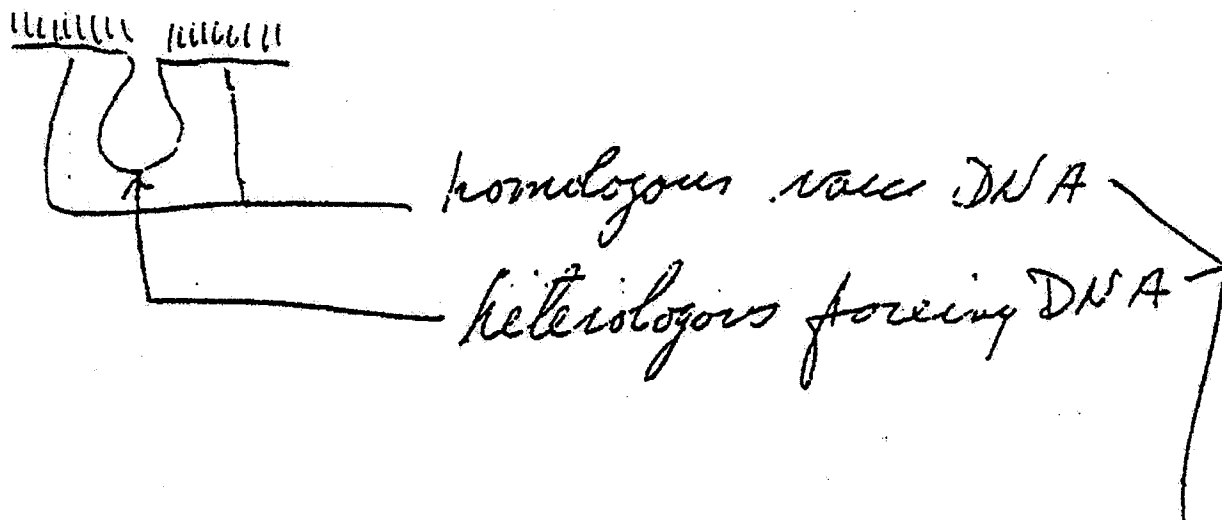
**DECLARATION OF DR. ENZO PAOLETTI**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

**ENZO PAOLETTI** declares and states that:


1. I am a named inventor on the above-captioned application ("the present application"). I am familiar with the claims of the present application as pending.
  2. I submit herein a true copy of my handwritten notes from a date prior to the December 24, 1981 filing date of priority U.S. application Serial No. 06/334,456, which matured into U.S. Patent No. 4,769,330. The handwritten notes were authored solely by me in the United States. The handwriting in the handwritten notes is solely my handwriting.
  3. The handwritten notes evince my sole conception of the invention as claimed.
- Note especially the following in the attached:



4. In the above illustration, the handwriting is: "homologous vacc[inia] DNA" and "heterologous forei[gn] DNA".

5. I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and that these statements were made with the knowledge that willful, false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful, false statements may jeopardize the validity of the application or any patent issuing thereon.

NOV. 15, 2008  
Date

  
DR. ENZO PAOLETTI

EP has original

8-27-80

August 27, 1980. It's a great beautiful day in New Hampshire. The sky is blue & the weather warm. These past few days have been really nice & relaxing up here at Leo's. I hope the Volvo repairs won't cost too much. I called the lab & received some of the insect data that has ever been involved in as a scientist. The marker rescue experiments that Ellen began in late June have finally been scored and they are positive. It is possible to rescue sequences of insect DNA with infectious vaccinia virus. The L and S variants have really proven to be very valuable in showing marker rescue. The donor DNA sequences are unique so there is no background as would be found with 15 reversions & deletions. In addition, being able to cleave the donor DNA with or without the unique sequence allow for unambiguous data to be gathered. The ability to

Exhibit 1

P-27-50

Almondite marker virus will really be a boon to the molecular genetics of the polyomavirus, but there are potential applications of this basic technique that are just begging to be considered at this time. For example, we will now be able to manipulate the vaccinia genome by DNA recombination techniques such that one can now begin to seriously consider doing experiments such as:

- A) the construction of viable deletion mutants, i.e. specifically deleting the vaccinia genome of unnecessary DNA sequences and
- B) the insertion of "foreign DNA" into the vaccinia genome either at the deletion site that exists in the virulent or the newer deletions that will be generated as per A above or by inserting foreign DNA at a number of restriction sites that are available and/or may be tailored for particular purposes. What we have

8-27-80

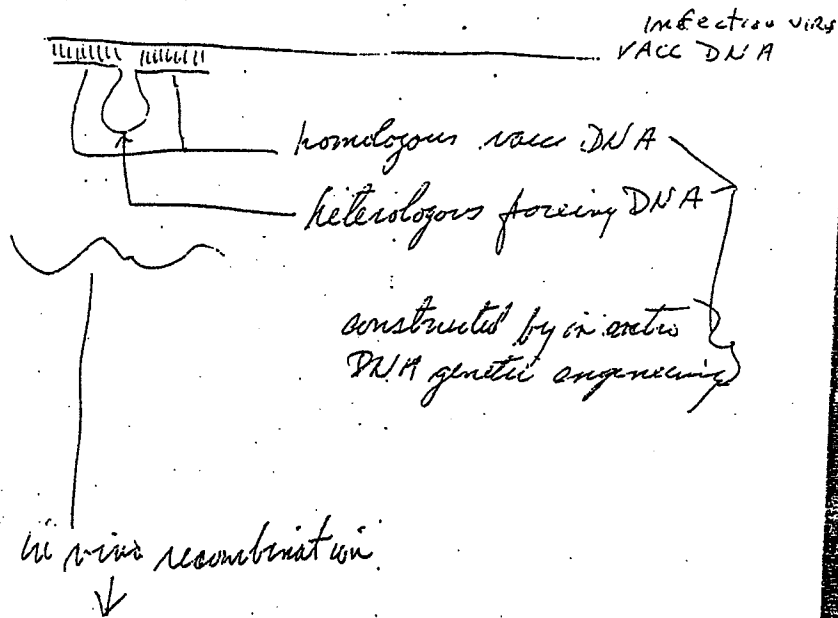
generated is a potent new cloning vector for foreign DNA by the technique of *in vivo* DNA recombination as demonstrated by marker rescue. This new cloning vector, vaccinia virus, is unique consideration because it is so large in terms of DNA info, it is cytoplasmic in replication and has little danger of oncogenic considerations. The virus has been used successfully in the vaccination program leading to the eradication of smallpox. One of the most interesting purposes for using this new tool is the construction of vaccines to various pathogenic organisms by insertion of the genetic information sequences (into the vaccinia genome) that are responsible for the synthesis of neutralizing antigens. Thus, as an example, it will be possible to insert into the vaccinia genome the DNA sequences from Herpes simplex virus that

8-27-80

are responsible for neutralizing herpes infectivity. These antigens would then be expressed after vaccine infection and would result in a vaccination against herpesvirus. The possibility of eliminating herpes 1 and herpes 2 which is a serious problem and also implicated in cervical carcinoma is quite exciting. This is only an example, and although the first approximation to this new technology is admittedly a simplistic one, there is no reason to consider that it can not be done. It's actuality appears to be only a function of learning or applying appropriate existing technology or the modification or extension of various DNA recombinant technologies to this particular problem. With sophistication that comes with learning the system it will be possible to string a number of these foreign DNA sequences together

8-7782

like a rosary such that one  
vaccinia virus appropriately  
manipulated can give rise to  
a number of multioctylizing Abs  
to a number of different pathogens like  
a multivalent vaccination.



infectious vaccinia virus containing  
a genome w/ a foreign DNA insert.



P-27-80

There are a number of interesting questions of course that remain to be answered but their answers can undoubtedly be found in manipulation of the system.

For example - where in the vaccinia genome will a foreign DNA be expressed early/late - next to a strong/weak vaccinia promoter - tandem repeats of foreign DNA inserts for amplification

The laboratory phase of the work will be very exciting and the potential applications of this discovery are overwhelming from this vantage point

---